

Practitioner's Docket No.: 939_026

578 Rec'd PCT/PTO 23 JUL 2001

PATENT
09/857,540

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

09/857,540
U.S. APPLICATION NO.

PCT/JP00/07086
INTERNATIONAL APPLICATION NO.

12 October 2000
INTERNATIONAL FILING DATE

15 October 1999
PRIORITY DATE CLAIMED

TITLE OF INVENTION

HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING
THE SAME

APPLICANT(S) FOR DO/US

Sugio MIYAZAWA and Michio ASAI

Box PCT

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTENTION: DO/US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231 on July 23, 2001 under "Express Mail Number" EL803164075US.

Elizabeth A. VanAntwerp
Elizabeth A. VanAntwerp

COMPLETION OF FILING REQUIREMENTS
FOR INTERNATIONAL APPLICATION ENTERING NATIONAL
STAGE IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. 371

(check and complete the following item, if applicable)

1. ☐ This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. 1.494 (FORM PCT/DO/EO/905).

☐ A copy of FORM PCT/DO/EO/905 accompanies this response.

DECLARATION OR OATH

2. ☒ No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

3. ☐ An amendment in accordance with 37 C.F.R. § 1.121 is attached.
☒ Submission of Certified Copies of Priority Documents and certified copies of Japanese Application 11-294,180 filed October 15, 1999 and Japanese Application 2000-306,641 filed October 5, 2000.
☒ Assignment Transmittal and Assignment

**TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS**

4. ☐ Submitted herewith, is a English translation of the non-English language international application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO. (See 37 CFR 1.494(b)(2)).

SMALL ENTITY STATUS

5. ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

FEES

6.

A. Fees for Claims (4 Claims; 2 Indep.)

- ☐ each independent claim in excess of 3

(37 C.F.R. 1.16(b)--\$78.00; small entity--\$39.00)

\$.00

- ☐ each claim in excess of 20

(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)

\$.00

- ☐ multiple dependent claim(s)

(37 C.F.R. 1.16(d)--\$260.00; small entity--\$130.00)

\$.00

B. Surcharge fees

- ☒ Surcharge set forth in § 1.492(e), for accepting the declaration later than 20 months after the priority date in filing an application in the U.S. as a designated office--\$130.00; small entity--\$65.00

\$ 130.00

NOTE: The processing fee in the next item (Number 3) below is not subject to a reduction for small entity status.

- C. ☐ Processing fee set forth in § 1.492(f), for acceptance of an English translation later than 20 months after the priority date--\$130.00

\$.00

- D. ☒ Assignment Fee

\$ 40.00

Total Completion Fees \$ 170.00

Repln. Ref: 07/26/2001 MKAYPAGH 0012550400
DAH:501446 Name/Number:09857540
FC: 704 \$130.00 CR

EXTENSION OF TIME

7. ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

8.

| | | |
|-----------------------|------------------------|-------------------|
| The total fee due is: | Completion fee(s) | \$ 170.00 |
| | Extension fee (if any) | \$ <u> .00</u> |
| | Total Fee Due | \$ 170.00 |

PAYMENT OF FEES

9.

- ☒ Enclosed is a check in the amount of \$170.00.
☐ Charge Account No. _____ in the amount of \$ _____.
A duplicate of this request is attached.

AUTHORIZATION TO CHARGE ADDITIONAL FEES

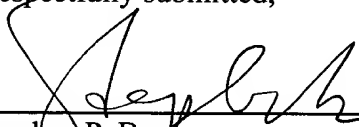
10. ☒ The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper to Account No. 50-0289.

- ☒ 37 C.F.R. 1.492(a)(2), 1.492(a)(3), or 1.492(a)(5) (filing fees)
☒ 37 C.F.R. 1.492(b) (presentation of extra claims)
☒ 37 C.F.R. 1.17 (application processing fees)
☒ 37 C.F.R. 1.17(a)(1)-(5)(extension fees pursuant to § 1.136(a).
☒ 37 C.F.R. 1.492(e) and (f) (surcharge fees for filing the declaration and/or an English translation of an international application later than 20 months from the earliest claimed priority date)

Respectfully submitted,

July 23, 2001

Date



Stephen P. Burr
Reg. No. 32,970

SPB/eav

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Syracuse, NY 13202

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Your mail room stamp hereon will acknowledge receipt of a Completion of Filing Requirements for International Application Entering National Stage in U.S. Designated Office under 35 USC 371 (in duplicate), a combined Declaration and Power of Attorney (3 pages), a Submission of Certified Copies of Priority Documents and certified copies of Japanese Application 11-294180 filed October 15, 1999 and Japanese Application 2000-306641 filed October 5, 2000, an Assignment Transmittal and Assignment, and our check in the amount of \$170.00 for: *

(939_026 - July 23, 2001) SPB/eav

Applicants: Sugio MIYAZAWA and Michio ASAI

Ser. No.: 09/857,540

Intn'l Appl'n: PCT/JP00/07086

Intn'l Filing Date: 12 October 2000

Earliest Priority Date Claimed: 15 October 1999

Title: HIGH PRESSURE DISCHARGE LAMP ARC TUBE AND METHOD OF PRODUCING THE SAME

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